PTO/SB/17 (10-03)

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## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 158.00	(\$)	158	.00
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Complete if Known				
Application Number	10/629,184			
Filing Date	July 29, 2003			
First Named Inventor	Beard			
Examiner Name	Rosenbaum			
Art Unit	3726			
Attorney Docket No.	B0978.04U			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDITIONAL	L FEES			
Deposit Account:	arge Entity   Small	Il Entity			
Deposit Account.	Fee Fee Fee Code (\$) Code	Fee Fee Description	ee Paid_		
Account Number	1051 130 2051	65 Surcharge - late filing fee or oath	001414		
Deposit	1052 50 2052	25 Surcharge - late provisional filing fee or			
Account Name	1053 130 1053	cover sheet 130 Non-English specification			
The Director is authorized to: (check all that apply)		2,520 For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments	1804 920* 1804	· · · · · ·			
Charge any additional fee(s) or any underpayment of fee(s)		Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805	1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	1251 110 2251	55 Extension for reply within first month			
1. BASIC FILING FEE	1252 420 2252	210 Extension for reply within second month			
1. BASIC FILING FEE Large Entity Small Entity	1253 950 2253	475 Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid	1254 1,480 2254	740 Extension for reply within fourth month			
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,010 2255	1,005 Extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401 330 2401	1 165 Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402 330 2402	2 165 Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403 290 2403	3 145 Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451 1,510 1451	1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 0	1452 110 2452	2 55 Petition to revive - unavoidable			
	1453 1,330 2453	665 Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501	665 Utility issue fee (or reissue)			
Extra Claims below Fee Paid	1502 480 2502	2 240 Design issue fee			
Total Claims 28 -20** = 8 X 9.00 = 72	1503 640 2503	3 320 Plant issue fee			
Independent 5 - 3** = 2 x 43 = 86  Multiple Dependent	1460 130 1460	130 Petitions to the Commissioner			
	1807 50 1807	7 50 Processing fee under 37 CFR 1.17(q)			
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806 180 1806				
Code (\$) Code (\$)	8021 40 802	1 40 Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20	1809 770 2809	9 385 Filing a submission after final rejection			
1201 86 2201 43 Independent claims in excess of 3		(37 CFR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810	0 385 For each additional invention to be examined (37 CFR 1.129(b))			
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801	385 Request for Continued Examination (RCE)	11		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802	P 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 158.00	Other fee (specify) _				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic	Filing Fee Paid SUBTOTAL (3) (\$) 0			
SUBMITTED BY (Complete (if applicable))					

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**S**aitta

Thomas/C

Name (Print/Type)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

32,102

Telephone 904-346-5518

NIE 23 MM CS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Beard

Serial No.:

10/629,184

Filed:

07/29/2003

For:

Manufacturing Method for Jewelry Including Shape Memory Alloy Elements

Art Unit:

3726

Examiner:

Rosenbaum

## AMENDMENT AND RESPONSE

This communication is in response to a first office action with mail date of May 19, 2004, in which the Examiner has rejected claims 1-4 and 8-11 under Section 103 as obvious over Applicant's Admitted Prior Art (AAPA) in view of either JP2115362 or JP11103914.

The Examiner further indicates that claims 5-7 and 12-14 are allowable if properly rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Please amend the above-identified application as follows:

08/23/2004 SDIRETAI 00000061 10629184

01 FC:2202 02 FC:2201

76.00 OP

The undersigned certifies that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

/ Date

Thomas C Saitta